

Index Lock Report

This job aid provides an overview of the Index Lock Report available on the Allianz Life website for active Fixed Annuity and Life Financial Professionals.

Website location

Financial Professional view:

- Log into **Allianzlife.com**
- Select **Accounts**
- Select **Fixed Annuity/Life**
- Under **Policy Reports** there is an **Index Lock Report**
- Select **Fixed Index Policies that are currently allocated to lockable Indexed Allocations**

How to create/view the report

Under **Policy Reports**, select the **Index Lock Report** - *Fixed Index Policies that are currently allocated to lockable Indexed Allocations*



The screenshot shows a 'Policy Reports' menu with several categories. The 'Index Lock Report' option is highlighted with a red box. The categories and their sub-items are:

- Policy Reports**
- Pending Reports**
 - › 1 Pending Life Policies
 - \$10,714.08 Annualized Premium Pending
 - › 23 Pending Annuity Policies
 - \$2,838,200.00 Pending Annuity Business
- Anniversary Reports**
 - › 279 Fixed Index Policies with Upcoming Anniversaries
 - › 0 Life Insurance Policies with Upcoming Anniversaries
- RMD Report**
 - › Annuity policies with Required Minimum Distributions (Policies issued 2003 - Current year)
- Index Lock Report** (highlighted)
 - › Fixed Index Policies that are currently allocated to lockable Indexed Allocations

Select report criteria – using the dropdowns, select the criteria to view on the Index Lock Report

Index Lock Status

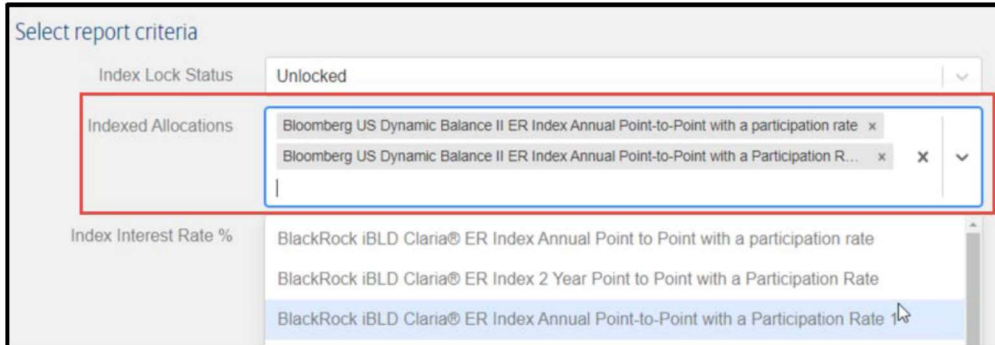
- Select **Unlocked** for all unlocked indexes
- Select **Locked** for all locked indexes



The screenshot shows the 'Select report criteria' form with three dropdown menus. The 'Index Lock Status' dropdown is highlighted with a red box and is set to 'Unlocked'. The other dropdowns are 'Indexed Allocations' set to 'All' and 'Index Interest Rate %' set to '0'. Below the 'Index Interest Rate %' dropdown is a text input field with the placeholder text 'Enter a starting Index Interest Rate % value'.

Index Allocations

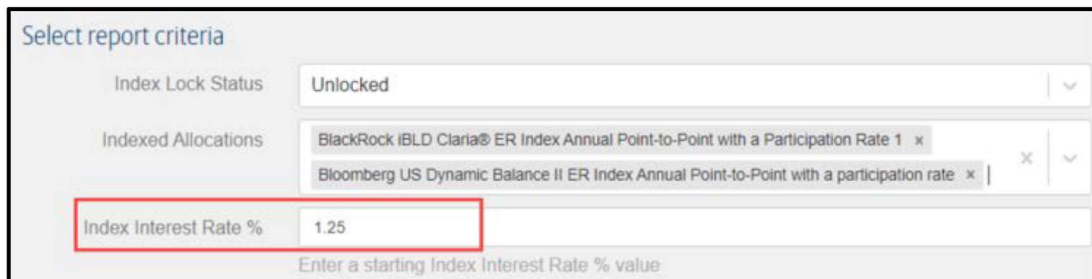
- Select **All** for all indexes
- Select **One or more indexed allocations** to view specific indexes



The screenshot shows a 'Select report criteria' dialog box. The 'Index Lock Status' is set to 'Unlocked'. The 'Indexed Allocations' field is highlighted with a red box and contains two entries: 'Bloomberg US Dynamic Balance II ER Index Annual Point-to-Point with a participation rate' and 'Bloomberg US Dynamic Balance II ER Index Annual Point-to-Point with a Participation R...'. The 'Index Interest Rate %' field is set to 'BlackRock iBLD Claria® ER Index Annual Point to Point with a participation rate', with a list of other options visible below it.

Index Interest Rate %

- Enter a **starting index interest rate % value**



The screenshot shows the 'Select report criteria' dialog box. The 'Index Lock Status' is 'Unlocked'. The 'Indexed Allocations' field contains two entries: 'BlackRock iBLD Claria® ER Index Annual Point-to-Point with a Participation Rate 1' and 'Bloomberg US Dynamic Balance II ER Index Annual Point-to-Point with a participation rate'. The 'Index Interest Rate %' field is highlighted with a red box and contains the value '1.25'. Below the field, there is a prompt: 'Enter a starting Index Interest Rate % value'.

Select **Generate Report** or **Download Excel**

Select report criteria

Index Lock Status:

Indexed Allocations:

Index Interest Rate %:

Enter a starting Index Interest Rate % value

[Generate Report](#) ←

[Download Excel](#) ←

Example of the Index Lock Report that's generated

Allocations and Index Performance												
#	Owner Name	Policy Number	Indexed Allocation	Allocated %	Allocated \$	Crediting Period		Starting Index Value	Current Index Value	Participation Rate %	Index Lock	
						Start	End				Index Interest Rate %	Lock
1			BlackRock iBLD Claria® ER Index Annual Point to Point with a participation rate	25.00	31,250.00	06/26/2019	06/26/2020	102.46	105.13	70.00	1.82	Unlocked
2			Bloomberg US Dynamic Balance II ER Index Annual Point-to-Point with a participation rate	25.00	31,250.00	06/26/2019	06/26/2020	218.06	230.61	65.00	3.74	Unlocked
3			BlackRock iBLD Claria® ER Index Annual Point to Point with a participation rate	25.00	12,500.00	08/06/2019	08/06/2020	101.49	105.13	65.00	2.33	Unlocked
4			Bloomberg US Dynamic	25.00	12,500.00	08/06/2019	08/06/2020	215.84	230.61	60.00	4.11	Unlocked